

2121

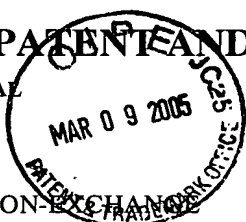
# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: CHIA-LI CHEN ET AL

SERIAL NO.: 09/973,740

FILED: October 11, 2001

FOR: MULTIFACTORIAL TRANSMISSION-EXCHANGE  
DEVICE FOR STORING MEDIA



GROUP ART UNIT: 2182

EXAMINER: J. Knapp

ATTY. REFERENCE: LIUW3003/BEU

## COMMISSIONER OF PATENTS

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Transmitted herewith is a communication/amendment in the above-identified application.

☐ Small entity status under 37 CFR 1.9 and 1.27 is claimed.

☒ No additional fee is required.

The fee, if any, has been calculated as shown below:

Fee Basis	Number of Claims After Amendment	Highest Number Previously Paid For	Extra Claims	Small Entity	Full Fee
Total Claims		- <sup>1</sup>	= <sup>3</sup>	× \$ 25 =	× \$ 50 =
Independent Claims		- <sup>2</sup>	= <sup>3</sup>	× \$ 100 =	× \$ 200 =
<input type="checkbox"/> First Presentation of Proper Multiple Dependent Claim				+ \$ 180 =	+ \$ 360 =
TOTAL					

<sup>1</sup> If less than 20 enter 20.

<sup>2</sup> If less than 3 enter 3.

<sup>3</sup> If less than 0 enter 0.

- ☐ Please charge my Deposit Account Number 02-0200 in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is attached.
- ☐ A check in the amount of \$ \_\_\_\_\_ is attached.
- ☐ The Commissioner is hereby authorized to charge any additional fees associated with this communication, including fees due under 37 CFR 1.16 and 37 CFR 1.17 or credit any overpayment to Deposit Account Number 02-0200. A duplicate copy of this sheet is attached.
- ☐ Also enclosed is/are:

23364

Customer Number  
Phone: (703) 683-0500

DATE: March 9, 2005

Respectfully submitted,

Chung C. Chen  
Attorney for Applicant  
Registration Number: 31,725



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent Application of:

Chia-Li CHEN et al

Serial Number: 09/973,740

Filed: October 11, 2001

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Group Art Unit: 2182

Examiner: J. Knapp

For: MULTIFACTORIAL TRANSMISSION-EXCHANGE DEVICE FOR STORING  
MEDIA

SUPPLEMENTAL AMENDMENT

COMMISSIONER OF PATENTS

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

This paper is a supplemental amendment to the amendments to claims January 18, 2005.

The applicant respectfully hopes to bring to the attention of the Examiner that EP1152428A2 cited in the first Official Action actually is the same (corresponding) as the application in U.S.A., which was matured to U.S. patent No. 6658202, and which was cited in the final Official Action.

Respectfully submitted,  
BACON & THOMAS, PLLC

By: Chung C. CHEN  
Registration No. 31,725

Date: March 9, 2005

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Alexandria, Virginia 22314  
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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re U.S. Patent Application of:     )     Group Art Unit:2182**  
**Chia-Li CHEN *et al.*                     )     Examiner: J. Knapp**  
**Serial Number: 09/973,740             )**  
**Filed: October 11, 2001               )     Confirmation No.: 7159**  
**For: Multifactorial Transmission-Exchange Device For**  
**Storing Media**

**Sir:**

**This paper is supplemental amendment to the amendments to claims  
January 18, 2005.**

**Supplemental amendments are on page 2.**

## BEST AVAILABLE COPY

Ser. No. 09/973,740

## SUPPLEMENTAL AMENDMENT

The applicant respectfully hopes that the publication dates of the two references 6,658,202 and 6,654,843 are later than the filing date of our patent application; that is, before our application was filed, the two referenced cases 6,658,202 and 6,654,843 had not yet been published. Thereby, it is unsuitable to think that the content of the present application has been in a publication. As to the "non-obvious subject matter" required by the article 35U.S.C.103(a), it indicates techniques and knowledge publicly used against an application of an invention sought to be patented; while the content of an application not published before the filing date of a subsequent application is unable to be known publicly or by the latter inventor or application, the latter inventor is unable to know that his invention falls into the scope of obviousness. At the time the present application is filed, in fact, the cited references have not yet been published, thereby the inventor was not aware of the fact that his invention fell into the scope of the prior art. Accordingly, the present application shall not be considered as contradict the article 35U.S.C.103(a).

Assignee CARRY COMPUTER ENG. CO. LTD.

Address 5F, No.1, Lane 45, Pao Hsin Road, Hsin  
Tien City, Taipei 231, Taiwan

Signature

*Chen, Mi-Chang*

Name and Title Mi-Chang Chen, General Manager

Date March 4th, 2005